

# Preuves Interactives et Applications

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## Automated Proof Techniques in Isabelle/HOL: An Introduction

# Revisions

- Elementary apply-style (backward) proofs
- Elementary attributed (forward) proofs
- Advanced apply-style proof techniques

# Introduction to more Advanced Proof Techniques

- Induction and case-splitting
- Rewriting
- Tableaux provers
- Paramodulation prover
- Presburger arithmetics prover
- A magic device: sledgehammer

# Revision: Proof Commands

- Simple (Backward) Proofs:

```
lemma <thmname> :  
  [ <contextelem>+ shows ]"< $\varphi$ >"  
  <proof>
```

- where <contextelem> declare elements of a proof context  $\Gamma$  (list of assumptions)
- where <proof> are
  - high-level proof method `by(simp)`, `by(auto)`, `by(metis)`, `by(arith)` or the ellipses `sorry` and `oops`
  - apply-style (“imperative”) proofs, and
  - structured (“declarative”) proofs.

# Revision: Proof Commands

- Core of structured proofs:

```
proof (<method>)  
  [case - fix - assumes - defs- have-]  
  show "<goal>" <proof>  
next  
  ...  
next  
  [case - fix - assumes - defs- have-]  
  show "<goal>" <proof>  
qed
```

- ... a switch from procedural to declarative style can be done by rephrasing the goals

# A Summary of Proof Methods

- low-level procedures and versions with explicit substitution:

– assumption

– rule\_tac <subst> in <thmname>

– erule\_tac <subst> in <thmname>

– drule\_tac <subst> in <thmname>

- ... where <subst> is of the form:

$x_1 = "\varphi_1"$  and  $x_n = "\varphi_n"$

# A Summary of Proof Methods

low-level methods:

– assumption (unifies conclusion vs. a premise)

– subst [(asm)] <thmname>

does one rewrite-step  
(by instantiating the HOL subst-rule)

– rule <thmname>, rule\_tac <subst> in <thmname>

PROLOG - like resolution step using HO-Unification

– erule <thmname>, erule\_tac <subst> in <thmname>

elimination resolution (for ND elimination rules)

– drule <thmname>, drule\_tac <subst> in <thmname>,

destruction resolution (for ND destruction rules)

# A Summary of Proof Methods

- Local forward proof constructions by attributes

– `<thm>[THEN <thm>]` (unifies conclusion vs. premise)

– `<thm>[OF <thm>]` (unifies premise vs. conclusion)

– `<thm>[symmetric]` (flips an equation)

– `<thm>[of (<term> | _)*]` (instantiates variables)

– `<thm>[simp]` (simplifies a thm)

– `<thm>[simp only: <thm>]` (simplifies a thm)



# A Summary of Proof Methods

- advanced methods:

- `insert <thmname>, insert <thmname>[„[„ of <subst>“]“]`

inserts local and global facts into assumptions

- `induct_tac “ $\phi$ ”, induct “ $\phi$ ” [arbitrary : „<variable>“]`

searches for appropriate induction scheme using type information and instantiates it

- `case_tac “ $\phi$ ”, cases “ $\phi$ ”,`

searches for appropriate case splitting scheme using type information and instantiates it

# Rewriting

# The Simplifier

Supports Rewriting, in particular:

- Regular rewriting
- Rewriting of HO-Patterns,
- Ordered Rewriting
- Conditional Rewriting
- Context - Rewriting
- Automatic Case-Splitting

INSTRUMENTATION NECESSARY, so it is necessary to tell which rule should be used HOW.

Simplification is quite predictable,

using[[simp\_trace]] shuts on tracing of the rewriter

# The Simplifier

## Regular Rewriting:

- Left-right of rewriting of rules of the form:

$$c \ t_1 \ \dots \ t_n = e$$

where  $c \ t_1 \ \dots \ t_n$  is the **pattern** ( $c \in C$ ), which **linear** (all free variables distinct) and

$$FV(t_1) \cup \dots \cup FV(t_n) \supseteq FV(e)$$

```
apply(simp add: <thm>)
```

# The Simplifier

## Regular Rewriting: Examples.

$$\text{Suc}(x + y) = x + \text{Suc}(y)$$

$$(a \# A) @ B = a \# (A @ B)$$

... (many computational rules  
resulting from “fun” or “primrec”)

$$\text{True} \wedge X = X$$

$$(a + b) + c = a + (b + c)$$

$$\text{if True then } b \text{ else } c = b$$

...

# The Simplifier

## Higher-order Patterns:

- constant head, i.e. of the form  $c t_1 \dots t_n$
- linear in free variables,  $FV(t_1) \cup \dots \cup FV(t_n) \supseteq FV(e)$
- $\lambda$ -expressions !
- All Higher-Order Variables occur only in the form:

$$F(x_1 \dots x_n) \text{ for distinct } x_i$$

## Example:

$$\forall(\lambda x. P(x) \wedge Q(x)) = \forall(\lambda x. P(x)) \wedge (\forall(\lambda x. Q(x)))$$

# The Simplifier

## Supports Ordered Rewriting:

- There is an implicit wf-ordering on terms. Rewriting is only done if the re-written term is smaller.

Example commutativity:  $a+b = b+a$

With a little trickery, one can have ACI rewriting:

disj\_comms(2):  $(P \vee Q \vee R) = (Q \vee P \vee R)$   
disj\_comms(1):  $(P \vee Q) = (Q \vee P)$   
disj\_ac(3):  $((P \vee Q) \vee R) = (P \vee Q \vee R)$   
disj\_ac(2):  $(P \vee Q \vee R) = (Q \vee P \vee R)$   
disj\_ac(1):  $(P \vee Q) = (Q \vee P)$   
disj\_absorb:  $(A \vee A) = A$   
disj\_left\_absorb:  $(A \vee A \vee B) = (A \vee B)$

# The Simplifier

Supports Rewriting, in particular:

- Conditional Rewriting

if\_P:  $P \implies (\text{if } P \text{ then } x \text{ else } y) = x$

if\_not\_P:  $\neg P \implies (\text{if } P \text{ then } x \text{ else } y) = y$

```
apply(simp add: if_P if_not_P)
```

(Not necessary, somewhere in the library it is stated:

```
declare if_P [simp] if_not_P [simp] ) ... )
```



# The Simplifier

Supports Rewriting, in particular:

- Context - Rewriting

HOL.if\_cong:

$$b = c \implies$$

$$(c \implies x = u) \implies$$

$$(\neg c \implies y = v) \implies$$

$$(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$$

HOL.conj\_cong:

$$P = P' \implies (P' \implies Q = Q') \implies (P \wedge Q) = (P' \wedge Q')$$

```
apply(simp cong: if_cong)
```

# The Simplifier

Supports Rewriting, in particular:

- Automatic Case-Splitting

(by a new type of rule which is NOT constant head)

split\_if\_asm:  $P (\text{if } Q \text{ then } x \text{ else } y) = (\neg (Q \wedge \neg P x \vee \neg Q \wedge \neg P y))$

split\_if:  $P (\text{if } Q \text{ then } x \text{ else } y) = ((Q \longrightarrow P x) \wedge (\neg Q \longrightarrow P y))$

For any data type (example: Option):

Option.option.split\_asm:

$P (\text{case } x \text{ of None } \Rightarrow f1 \mid \text{Some } x \Rightarrow f2 x) =$

$(\neg (x = \text{None} \wedge \neg P f1 \vee (\exists a. x = \text{Some } a \wedge \neg P (f2 a))))$

Option.option.split:

$P (\text{case } x \text{ of None } \Rightarrow f1 \mid \text{Some } x \Rightarrow f2 x) =$

$((x = \text{None} \longrightarrow P f1) \wedge (\forall a. x = \text{Some } a \longrightarrow P (f2 a)))$

apply(simp split: split\_if\_asm split\_if)

# Tableaux Prover

# fast, blast and auto

## Tableaux Provers going back to LeanTAP

- For Logic terms and Set terms
- Uses all rules classified as
  - introduction rule (keyword: intro)  
works on conclusion of a goal
  - elimination rule (keyword: elim)  
works on assumptions of a goal
  - destruction rule (keyword: dest)  
works on assumptions of a goal  
applies destructively (eg. modus ponens)
  - frule works on assumptions of a goal,  
applies non-destructively

# fast, blast and auto

## fast

- will apply **safe intro/elim/drule's** blindly  
(these are rules like conjI, conjE, disjE, ... allI, exE, ...  
Rules that will transform a subgoal into an equivalent one, without loosing “logical content”)
- with backtrack on **unsafe rules**  
(refines a subgoal into a logically stronger one, can lead into a dead end).  
fast works for HO-Terms, but is fairly slow

## blast

- dito, but restricted to first-order reasoning

## auto

- intertwines simp and blast

# fast, blast and auto

## blast

- works similarly like fast, but is restricted to first-order reasoning
- Substantially faster than fast, can treat transitivity rules.

## auto

- intertwines simp, blast, and fast

# A Summary of Proof Methods

- advanced automated procedures:

```
– simp [add: <thmname>+] [del: <thmname>+]
      [split: <thmname>+] [cong: <thmname>+]

– auto [simp: <thmname>+]
      [intro: <thmname>+] [intro [!]: <thmname>+]
      [dest: <thmname>+] [dest [!]: <thmname>+]
      [elim: <thmname>+] [elim[!]: <thmname>+]
```

# Paramodulation Prover



# A Summary of Proof Methods

- another automated procedures based on **ordered paramodulation calculus**  
(Canonical ref: <http://www.gilith.com/papers/metis.pdf>)

– metis <thmname>+

$$\frac{}{A_1 \vee \dots \vee A_n} \text{AXIOM } [A_1, \dots, A_n]$$

$$\frac{}{L \vee \neg L} \text{ASSUME } L$$

$$\frac{A_1 \vee \dots \vee A_n}{A_1[\sigma] \vee \dots \vee A_n[\sigma]} \text{INST } \sigma$$

$$\frac{A_1 \vee \dots \vee A_n}{A_{i_1} \vee \dots \vee A_{i_m}} \text{FACTOR}$$

$$\frac{A_1 \vee \dots \vee L \vee \dots \vee A_m \quad B_1 \vee \dots \vee \neg L \vee \dots \vee B_n}{A_1 \vee \dots \vee A_m \vee B_1 \vee \dots \vee B_n} \text{RESOLVE } L$$

# Linear Arithmetic Prover

# A Summary of Proof Methods

- advanced automated procedures based on Coopers Algorithm for linear Presburger Arithmetics.

(Chaieb, Nipkow. Proof Synthesis and Reflection for Linear Arithmetic. J. Automated Reasoning, 41:33-59, 2008)

– arith

# The Sledgehammer Interface (external provers)

# Magic Device:

- sledgehammer - command.
  - asks well-known automatic first-order theorem provers such as
    - Vampire (binary resolution and superposition)
    - E (FOL-Eq saturation prover)
    - CVC4 (SMT prover)
    - Z3 (SMT prover)
  - ... if they can construct a proof based on all Isabelle theorems existing at this point, reconstructs an Isabelle proof.
  - does not work for proofs involving (deep) HO-Reasoning and/or induction.

# Conclusion

- Isabelle focusses on interactive proofs (enabling presentation of intermediate steps, and structuring of proofs and prover instrumentations)
- ... but this does not mean that there are no automatic proof techniques available and that classical ATP's are "better" in that sense ...
- Highly-tuned (=competition) ATPs can be faster, though, due to more aggressive compilations